

Docket No.: 10674.204-US

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Allain et al.

Confirmation No: TBA

Serial No.: 10/586,109

Group Art Unit: TBA

Filed: July 14, 2006

Examiner: TBA

For: Processes for Producing a Fermentation Product

CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

I hereby certify that the attached correspondence comprising:

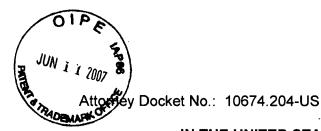
- 1. Information Disclosure Statement
- 2. Form PTO/SB/08A
- 3. Copy of References

is being deposited with the United States Postal Service as first class mail in an envelope addressed to the address indicated above on June 6, 2007.

Lourdes Ayala

(name of person mailing paper)

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INFORMATION DISCLOSURE STATEMENT (IDS) UNDER 37 CFR 1.97(b)

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with 37 C.F.R. 1.56, 1.97 and 1.98, Applicants submit herewith references which may be material to the patentability of this application and with respect to which there may be a duty to disclose. While the references may be material under 37 C.F.R. 1.56, it is not intended to constitute an admission that the references are prior art. The filing of this IDS shall not be construed as a representation that no other material references than those listed exist or that a search has been conducted.

The references are listed in Form PTO/SB/08A, which is in accordance with the requirements of M.P.E.P. 609. A copy of the references is enclosed.

This IDS is being filed within three months of the filing date of a national application or date of entry into the national stage of an international application, or before the mailing date of a first Office action on the merits, or before the mailing date of a first Office action after the filing of a request for continued examination. Therefore, no fee is due.

Respectfully submitted,

Date: June 6, 2007

Elias J. Lambiris, Reg. No. 33,728 Michael W. Krenicky, Reg. No. 45,411

Novozymes North America, Inc. 500 Fifth Avenue, Suite 1600

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PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

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INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

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Application Number	10/586,109	
Filing Date	July 14, 2006	
First Named Inventor	Allain et al.	
Art Unit	TBA	
Examiner Name	TBA	7
Attorney Docket Number	10674.204-US	

U.S PATENT DOCUMENTS					
Examiner Initials	Cite No. 1	Document Number Number - Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US 4,316,956	02-23-1982	Lutzen	
		US 4,727,026	02-23-1988	Sawada et al	
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FOREIGN PATENT DOCUMENTS					
Cite No. 1	Foreign Patent Document Country Code ³ – Number ⁴ – Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	т 6
	EP 0 140 410	04-12-1996			
	WO 2004/081193	09-23-2004	Broin and Associates		
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	Cite No. 1	Foreign Patent Document Cite No. 1 Country Code 3 – Number 4 – Kind Code 5 (if known) EP 0 140 410	Foreign Patent Document Publication Date MM-DD-YYYY	Cite No. 1	Foreign Patent Document Cite No. Country Code 3 - Number 4 - Kind Code 5 (if known) EP 0 140 410 O4-12-1996 Gist Brocades WO 2004/081193 O9-23-2004 Broin and Associates Signature

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¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

PTO/SB/08A (10-01)

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Complete if Known

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Sheet

(use as many sheets as necessary)

of

 Application Number
 10/586,109

 Filing Date
 July 14, 2006

 First Named Inventor
 Allain et al.

 Art Unit
 TBA

 Examiner Name
 TBA

 Attorney Docket Number
 10674.204-US

	OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS	
Cite No. 1	of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-	T 2
	Nagasaka et al., Applied Microbiol Biotechnol , Vol. 50, pages 323-330 (1998)	
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	Cite No. 1	Cite No. 1 Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume- issue number(s), publisher, city and/or country where published

Examiner
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